



COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/717,088

2100 PENNSYLVANIA AVENUE, N.W.

WASHINGTON, DC 20037-3213

11/22/2000

Thomas Sai Ying Ko

O-61930

CONFIRMATION NO. 1770

FORMALITIES LETTER

SUGHRUE, MION, ZINN, MACPEAK & SEAS, PLLC

OC000000005934426

Date Mailed: 04/04/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$324.
 - \$54 for 3 total claims over 20.
 - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1164.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PEIn re Application of:

APR 1 2 200 pm

Group Art Unit: 1615

Min. No.: 09/717,088

Examiner: Unknown

Filed: November 22, 2000

Confirmation No.: 1770

For: NOVEL COMPOSITIONS AND METHODS

SUBMISSION OF MISSING PARTS OF NONPROVISIONAL APPLICATION

ATTN: APPLICATION BRANCH

Assistant Commissioner

for Patents

Washington, D.C. 20231

Sir:

In response to the "Notice to File Missing Parts of NonProvisional Application", dated April 4, 2001, for which reply is due on or before June 4, 2001, Applicant submits herewith the required Declaration properly executed by the inventor.

Checks for the statutory filing fee, in the amount of \$517.00, and surcharge, in the amount of $$65.00^{1/}$, are attached.

The Assistant Commissioner is hereby authorized to charge any underpayment or credit any overpayment to Deposit Account No. 19-4880. A duplicate copy of this paper is attached.

Respectfully submitted,

Gordon Kit

Registration No. 30,764

SUGHRUE, MION, ZINN, MACPEAK & SEAS, PLLC

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Date: April 12, 2001

Applicant is entitled to small entity status as evidenced by the Small Entity Status Declaration attached hereto.